

Forward Work Plan

Service Director: Kevin Nacey, Director of Finance and Performance

Lead Officer: Martin Gerrish, Strategic Manager – Financial Governance

Author: Martin Gerrish, Strategic Manager – Financial Governance

Contact Details: tel (01823) 355303 or e-mail: mgerrish@somerset.gov.uk

Cabinet Member: Cllr D Hall, Cabinet Member for Resources

Division and Local Member: All

1. Summary/link to the County Plan

- 1.1. Members have asked that we review forthcoming items coming to Audit Committee, and also that officers ensure that the Committee has Partial assurance audits brought to it in a timely manner. A draft Forward Work Plan will be brought to the Audit Committee at least quarterly.
- 1.2. Members have also requested that the number of current investigations be regularly updated to the Audit Committee.

2. Issues for consideration

- 2.1. Members are asked to note the outline Agendas for the 26th January 2018 and 18th March 2018 public meetings, as set out in Appendix A to this report, and to comment on any further items that they would like to be scheduled.
- 2.2. Members are asked to consider other agenda items on this November agenda, and whether they would like to have a further update on any of these audits, risks or topics.

3. Background

- 3.1. Audit Committee has set out the requirement for any internal audit from SWAP that only achieved Partial Assurance to come to a future public meeting and for the manager(s) responsible to update members as to their progress against the agreed action plan.
- 3.2. There is also a number of “staple” Audit Committee items that form part of either the annual Statement of Accounts cycle, or that are regularly brought to Audit Committee as part of its general risk and governance role.
- 3.3. It is always possible, and has been the case in the recent past, that additional Audit Committee meetings can be added to incorporate the workload.
- 3.4. At the June 2017 meeting, members required that officers scheduled in previous Partial audits to ensure that these were “caught up”. (The absence of a meeting in May 2017 had put this cycle slightly in arrears). This has now been achieved, and officers intend to bring Partial audits back to the next available meeting.

4. Consultations undertaken

4.1. None required

5. Implications

5.1. Any items requested not yet covered by the draft Forward Work Plan at Appendix A will require scheduling by officers, in conjunction with the Chair.

6. Background papers

6.1. Previous Audit Committee decisions on the process for dealing with Partial Audits.

Note For sight of individual background papers please contact the report author